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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/073,494	
	Filing Date	5/6/1998	
	First Named Inventor	Pan et al.	
	Group Art Unit	2811	
	Examiner Name	H. Vu	
Total Number of Pages in This Submission	8	Attorney Docket Number	2269-2915.1US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Supplemental Information Disclosure Statement; PTO/SB/08A (08-00); copy of cited references and Check No. in the amount of \$180.00	<input type="checkbox"/> Terminal Disclaimer #3
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Letter to Chief Draftsman and copy of FIGS. with changes made in red	<input type="checkbox"/> Response to Restriction Requirement/Election of Species Requirement dated
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<input type="checkbox"/> Petition	<input type="checkbox"/> Formal Drawings (sheets)	<input checked="" type="checkbox"/> Amendment in response to office action dated November 5, 2001
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Signature	
Date	February 4, 2002

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pan et al.

Serial No.: 09/073,494

Filed: May 6, 1998

For: TECHNIQUE FOR ELIMINATION
OF PITTING ON SILICON SUBSTRATE
DURING GATE STACK ETCH

Examiner: H. Vu

Group Art Unit: 2811

Attorney Docket No.: 2915.1US (96-149.1)

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AMENDMENT

Box Non Fee Amendment
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Sir:

The following amendments and remarks are filed in response to the Examiner's remarks in the Office Action mailed November 5, 2001, the three-month shortened statutory period for response to which expires on February 5, 2002.